

## FACTS

### WHAT DOES PROTEUS, LLC DO WITH YOUR PERSONAL INFORMATION?

#### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

#### What?

The types of personal information we collect, and potentially share, depend on the product or service you have with us. This information can include:

- Name and address
- Social Security number
- Transactions relating to your interest in a pooled investment vehicle
- Holdings in one or more pooled investment vehicles

When you are *no longer* an investor in a pooled investment vehicle or otherwise our client, we may continue to share your information as described in this notice.

#### How?

All financial companies need to share personal information about clients to run their everyday business. In the section below, we list the reasons financial companies may share personal information about their clients; the reasons Proteus, LLC chooses to share; and whether you can limit this sharing.

#### Questions?

Please call (888) 543-1190 or visit [www.proteuscapital.us](http://www.proteuscapital.us)

Reasons we can share your personal information.	Does Proteus share?	Can you limit this sharing?
<b>For our everyday business purposes –</b> To affiliates and non-affiliates, such as to process your transactions, maintain your capital account(s), prepare account statements, respond to court orders and legal investigations, report to consumer-reporting agencies, including credit bureaus, or as otherwise authorized by you	Yes, to third party service providers and authorized agents	No
<b>For our marketing purposes –</b> to offer our products and services to you	No	We do not share
<b>For joint marketing with other financial companies</b>	No	We do not share
<b>For our affiliates' everyday business purposes –</b> information about your transactions and experiences	Yes	No
<b>For our affiliates' everyday business purposes –</b> information about your creditworthiness	No	We do not share
<b>For our affiliates to market to you</b>	No	We do not share
<b>For non-affiliates to market to you</b>	No	We do not share



Privacy Notice

June 2025

Who we are	
Who is providing this notice?	Proteus, LLC, a Delaware limited liability company.
What we do	
How does Proteus protect my personal information?	To protect your personal information from unauthorized access and use, we have in place physical, electronic and managerial policies and procedures that comply with federal law. These measures include computer safeguards and secured files and buildings. We also restrict access to information about you to only those employees and authorized agents who need to know the information to provide products and services to you.
How does Proteus collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"><li>▪ Open an account</li><li>▪ Submit a completed subscription agreement to subscribe for interests in a pooled investment vehicle we manage</li><li>▪ Make a wire transfer or tell us where to send money</li><li>▪ Tell us about your investment history or objectives</li></ul> <p>We may also collect your personal information from other sources, such as credit bureaus and governmental entities.</p>
Why can't I limit all sharing?	<p>Federal law gives you certain rights to limit:</p> <ul style="list-style-type: none"><li>▪ Sharing with affiliates for certain everyday business purposes</li><li>▪ Affiliates from using your information to market to you</li><li>▪ Sharing information with non-affiliates to market to you</li></ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>
Definitions	
Affiliates	Companies related by common ownership or control. Our affiliates include multiple private funds that are managed by Proteus, LLC; Proteus Financial Solutions, LLC; Proteus Securities, LLC; Proteus Holdings, LLC (parent company of the aforementioned companies) and Keystone International Holdings, Inc., an owner of Proteus Holdings, LLC.
Non-affiliates	<p>Companies not related by common ownership or control. They can be financial and non-financial companies.</p> <ul style="list-style-type: none"><li>▪ <i>Proteus shares with non-affiliates for the purpose of servicing your account, not so they can market to you.</i></li></ul>
Joint Marketing	<p>A formal agreement between non-affiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"><li>▪ <i>Proteus shares with non-affiliates for the purpose of servicing your account, not so they can market to you.</i></li></ul>